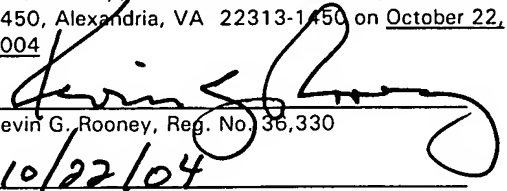




IFW

PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 22, 2004


Kevin G. Rooney, Reg. No. 36,330
10/22/04
Date

Applicants: Edwin J. Hlavka et al.
Ser. No.: 10/689,872
Filed: October 21, 2003
Group Art No.: 3764
Examiner: Unknown
For: METHOD AND APPARATUS FOR PERFORMING
CATHETER-BASED ANNULOPLASTY USING LOCAL
PLICATIONS
Confirmation No.: 3330
Atty Ref.: MICO-05A

Cincinnati, Ohio 45202

October 22, 2004

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of candor and good faith imposed by
37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R.
§§1.97 and 1.98, the references listed on the attached Form PTO-1449 are

called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application.

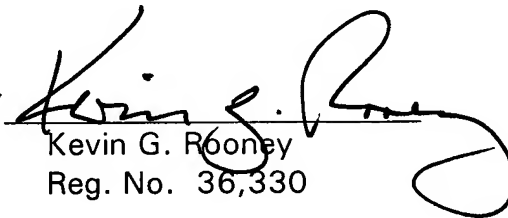
The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

Applicants believe that this communication is being mailed before a first action on the merits and, therefore, no fee is due. If this is not the case, the Commissioner is authorized to charge deposit account 23-3000 for any required fees.


Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

BY


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441 Vine Street
Cincinnati, OH 45202
(513) 241-2324

SUBSTITUTE FORM PTO-1449 (MODIFIED)  37 CFR 1.984	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MICO-05A	SERIAL NO. 10/689,872
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		
	APPLICANT Edwin J. Hlavka et al.		FILING DATE October 21, 2003

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A						
	A.B						
	A.C						
	A.D						
	A.E						
	A.F						
	A.G						
	A.H						
	A.I						
	A.J						
	A.K						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L	WO 00/03759	1/27/2000	PCT			
	A.M	WO 00/60995	10/19/2000	PCT			
	A.N	WO/03/001893	1/9/03	PCT			
	A.O	WO 99/00059	1/7/1999	PCT			
	A.P						
	A.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

A.R	<u>Anatomical Landscape of Heartport Technology</u> , Heartport Common Stock Prospectus, April 25, 1996, Cardiology Roundtable Interviews
A.S	F. Maisano et al., <u>The Double-Orifice Technique as a Standardized Approach to Treat Mitral Regurgitation Due to Severe Myxomatous Disease: Surgical Technique</u> , European Journal of Cardio-thoracic Surgery, 1998
A.T	Douglas P. Zipes, MD et al., <u>Ablation of Free Wall Accessory Pathways</u> , Catheter Ablation of Arrhythmias, Chapter 8, 7 pgs., 1994

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MICO-05A		SERIAL NO. 10/689,872	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98(b))				APPLICANT Edwin J. Hlavka et al.			
				FILING DATE October 21, 2003		GROUP 3764	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B.A						
	B.B						
	B.C						
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	B.K						
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	B.L						
	B.M						
	B.N						
	B.O						
	B.P						
	B.Q						
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)							
	B.R	Zsolt L. Nagy et al., <u>Mitral Annuloplasty With a Suture Technique</u> , European Journal of Cardio-thoracic Surgery 18, August 15, 2000, 1 pg.					
	B.S	David L.S. Morales et al., <u>Development of an Off Bypass Mitral Valve Repair</u> , Department of Surgery, Columbia University, College of Physicians and Surgeons, New York, NY					
	B.T	http://www.hsforum.com/vol2/issue2/1999-4963 tables.html					
EXAMINER				DATE CONSIDERED			
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	C.A						
	C.B						
	C.C						
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	C.I						
	C.J						
	C.K						
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	C.L						
	C.M						
	C.N						
	C.O						
	C.P						
	C.Q						
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)							
	C.R	http://www.hsforum.com/vol2/issue2/1999-4963figures.html					
	C.S	http://medtronic.com/cardiac/heartvalves/duran_band/					
	C.T						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to applicant.							